



INFORMATION BULLETIN No. 280

FAL FORMS AND CERTIFICATES

Application: All ship-owners, operators, masters and officers of merchant ships and authorised Classification societies

In response to requests from ship-owners/managers for advice on the documents and certificates to be carried on board ship, the current Convention on Facilitation of International Maritime Traffic (FAL) Standard 2.1 requirements are shown below. It should be noted that public authorities are entitled to demand these of a ship. The maximum number of copies which should be required is shown in brackets.

IMO has developed Standardized Forms for seven of these documents, which are:

- IMO General Declaration (FAL form 1)
- Cargo Declaration (FAL form 2)
- Ship's Stores Declaration (FAL form 3)
- Crew's Effects Declaration (FAL form 4)
- Crew List (FAL form 5)
- Passenger List (FAL form 6)
- Dangerous Goods (FAL form 7)

Two other documents may be required under the Universal Postal Convention and the International Health Regulations.

The general declaration, cargo declaration, crew list and passenger list constitute the maximum information necessary. The ship's stores declaration and crew's effects declaration incorporate the agreed essential minimum information requirements.*

Certificates

All ships are required to carry certificates that establish their seaworthiness, type of ship, competency of seafarers and so on. These certificates are provided by the flag State of the ship and may be inspected by Port State control officers.

Certificates to be carried on board ships are listed in FAL.2/Circ.127; MEPC.1/Circ.817; MSC.1/Circ.1462.

They include (some dependent on type of ship):

- International Tonnage Certificate;
- International Load Line Certificate;
- Intact stability booklet; Damage control booklets;
- Minimum safe manning document;
- Certificates for masters, officers or ratings;
- International Oil Pollution Prevention Certificate; Oil Record Book;
- Shipboard Oil Pollution Emergency Plan;
- Garbage Management Plan;
- Garbage Record Book;
- Cargo Securing Manual;
- Document of Compliance and Safety Management Certificate (ISM Code).

* 1) *Following the amendments adopted by FAL 40, new FAL Forms will be in force from 1 January 2018.*

2) *Three additional declarations will enter into force from 1 January 2018 which will bring the number of documents to twelve. These are: security-related information as required under SOLAS (International Convention for the Safety of Life at Sea, 1974), advance electronic cargo information for customs risk assessment purposes and advance notification form for waste delivery to port reception facilities.*

12th September 2017